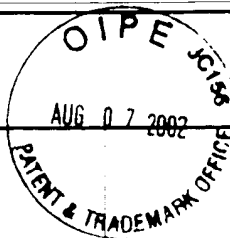


TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15115.019001

In Re Application Of: Masaaki IKEDA et al.



Serial No.
10/092,336

Filing Date
March 6, 2002

Examiner

Group Art Unit
1756

Title: **MANUFACTURING METHOD AND APPARATUS OF OPTICAL DEVICE AND REFLECTION PLATE PROVIDED WITH RESIN THIN FILM HAVING MICRO-ASPERITY PATTERN**

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

RECEIVED
AUG 08 2002
TC 1700

**COPY OF PAPERS
ORIGINALLY FILED**

INFORMATION DISCLOSURE STATEMENT
 (Use several sheets if necessary)

ATTY. DOCKET NO.:

15115.019001

SERIAL NO.:

10/092,336

APPLICANT:

Masaaki IKEDA et al.

FILING DATE:

March 6, 2002

ART UNIT:

1756

**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	REF	DOCUMENT NO.	DATE	APPLICANT'S OR PATENTEE'S NAME

 COPY OF PAPERS
 ORIGINALLY FILED
FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	REF	DOCUMENT NO.	DATE	COUNTRY	TRANSLATION	
					YES	NO
	A1	0 617 310 A1	3/11/1994	Europe		

OTHER DOCUMENTS

EXAMINER INITIALS	REF	(Include Author, Title, Date, Pertinent Pages, etc.)
	A2	Patent Abstracts of Japan, Publication No. 06-273800, 9/30/94, 2 pgs.
	A3	Patent Abstracts of Japan, Publication No. 11-052110, 2/26/99, 3 pgs.
	A4	Patent Abstracts of Japan, Publication No. 11-242105, 9/7/99, 3 pgs.
	A5	Patent Abstracts of Japan, Publication No. 2000-258615, 9/22/00, 3 pgs.

 RECEIVED
 AUG 08 2002
 TC 1700

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

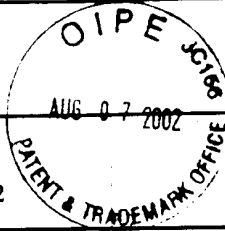
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15115.019001

In Re Application Of: Masaaki IKEDA et al.

Serial No.
10/092,336Filing Date
March 6, 2002

Examiner

Group Art Unit
1756Title: **MANUFACTURING METHOD AND APPARATUS OF OPTICAL DEVICE AND REFLECTION PLATE PROVIDED WITH RESIN THIN FILM HAVING MICRO-ASPERITY PATTERN****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0591 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____ (Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Lisa H. Smith

Typed or Printed Name of Person Mailing Correspondence

*This certificate may only be used if paying by deposit account.

Signature

Jonathan P. Osha, Reg. No. 33,986
ROSENTHAL & OSHA L.L.P.
1221 McKinney Street, Suite 2800
Houston, TX 77010

Tel: (713) 228-8600
Fax: (713) 228-8778

Dated: 8/6/02

COPY OF PAPERS
ORIGINALLY FILED

RECEIVED
AUG 08 2002
TC 1700

CC: